



epw

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Dierks, Jr. et al.**

Serial No.: **10/621,931**

Filed: **July 17, 2003**

For: **Method and Apparatus for  
Transferring Data from a Memory  
Subsystem to a Network Adapter for  
Improving the Memory Subsystem  
and PCI Bus Efficiency**

§  
§  
§  
§  
§  
§

Group Art Unit: **2186**

Examiner: **Unknown**

Attorney Docket No.: **AUS920030471US1**

Certificate of Mailing Under 37 C.F.R. § 1.8(a)  
I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on Mar 14, 2006.  
By: Louise Fay  
Louise Fay

35525

PATENT TRADEMARK OFFICE  
CUSTOMER NUMBER

**TRANSMITTAL DOCUMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

ENCLOSED HEREWITH:

- Supplemental Information Disclosure Statement;
- Form PTO-1449; and
- Our return postcard.

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 09-0447.

Respectfully submitted,

Duke W. Yee  
Duke W. Yee

Registration No. 34,285

**YEE & ASSOCIATES, P.C.**

P.O. Box 802333

Dallas, Texas 75380

(972) 385-8777

ATTORNEY FOR APPLICANTS



DOCKET NUMBER AUS920030471US1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

**Dierks, Jr. et al.**

Serial No: **10/621,931**

Filed: **July 17, 2003**

Title: **Method and Apparatus for  
Transferring Data from a Memory  
Subsystem to a Network Adapter for  
Improving the Memory Subsystem and PCI  
Bus Efficiency**

Group Art Unit: **2186**

Intellectual Property Law Department  
International Business Machines Corporation  
11400 Burnet Road  
Austin, Texas 78758

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

While this Supplemental Information Disclosure Statement may be "material" pursuant to 37 C.F.R. 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. 1.97(g) the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (b) exists.


The patents and/or publications submitted herewith are set forth on the attached form, PTO-1449.

The undersigned certifies that this Supplemental IDS is being submitted:

- a) within three months of the filing of a national application
- b) within three months of entering national stage
- c) before mailing of a first Office Action on the merits; or
- d) before the mailing of a first Office Action after filing of a request for Continued Examination.

In the event a fee is required in connection with the enclosed Supplemental Information Disclosure Statement, the Commissioner of Patents and Trademarks is authorized to charge Deposit Account No. 09-0447 for the necessary amount.

Respectfully submitted,

  
\_\_\_\_\_  
Duke W. Yee  
Registration No. 34,285  
Yee & Associates, P.C.  
P.O. Box 802333  
Dallas, Texas 75380  
Telephone: (972) 385-8777



Substitute for form 1449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

## Complete if Known

Application Number	10/621,931
Filing Date	07/17/2003
First Named Inventor	Dierks, Jr. et al.
Examiner Name	Not assigned
Art Unit	2186
Attorney Docket No.	AUS920030471US1

Examiner Initials	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/T.T./ AA	6,633,832 B1	10-14-2003	Browen	
↓ AB	2002/0095554 A1	07-18-2002	McCrory et al.	
↓ AC	6,456,283 B1	09-24-2002	Ishida	
/T.T./ AD	6,625,806 B1	09-23-2003	Ono et al.	

## FOREIGN PATENT DOCUMENTS

Examiner Initial	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

## NON PATENT LITERATURE

Examiner Initial	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

Examiner: Tuan V. Thai

Digitally signed by Tuan V. Thai  
DN: cn=Tuan V. Thai, o=US, ou=GROUP 2100,  
email=tuan.thai@uspto.gov  
Reason: I have reviewed this document  
Date: 2008.02.21 17:47:59 -0500

Date Considered: 02/12/2008

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.